## Patents on Seeds and Farm Animals



 ethical, legal and economic problems

ethics in life sciences Hannover, 24 April 2008

#### general problems with >patents on life<

- <a href="mailto:ethical">ethical reasons:</a> living nature is not a technical invention
- scientific arguments: a gene sequence is not a normal chemical substance but a code of information with a lot of different functions. A holder of a patent which describes <u>one</u> commercial use should not get a monopoly on <u>all</u> possible functions.
- <u>Social and economical reasons</u>: Patents can block access to genetic resources. This is a problem in agriculture, plant breeding and health care.

www.scouting-biotechnology.net

## **Granted patents in Europe: Overview 1980-2005**

#### Granted:

Gene sequences humans / animals 1800
• Animals 270
• Plants 580

#### Applications (approximately):

Gene sequences humans/ animalsAnimalsPlants2000055006000

## Behind the patents: growing corporate control

Nearly all big **seed companies** are owned by agrochemical companies:

- Monsanto, Syngenta, Dupont, Bayer became the THE major players, companies like Pioneer, Seminis and DeKalb only kept their names but changed their owners.
- Till 2005 US company Monsanto spent about 10 billions \$ US in 10 years, to buy up companies in agricultural sector, many of them seed companies.

www.scouting-biotechnology.net

# Behind the patents: growing corporate control

increasing concentration in animal production:

- · very few breeders in poultry business
- · ongoing integration in pig producing
- cattle breeding to some extent still in the hand of farmers
- growing interest of big corporates in the animal breeding business (see "Livestock Genetics Companies, Concentration and proprietary strategies of an emerging power in the global food economy", League for Pastoral Peoples and Endogenous Livestock Development, 2007)

www.scouting-biotechnology.net

#### 

#### the oncomouse case

- precedent case: the animal and following generations were subjected to patent monopoly
- main argument was benefit for patients (but this model did not turn out to be useful)
- in fact the patent even was a hindrance for research

www.scouting-biotechnology.net



# Patent of Monsanto, herbicide resistant plants



Claim 28: "A glyphosate tolerant plant .. Selected from the group consisting of corn, wheat, rice, soybean, cotton, sugarbeet, oilseed rape, canola, flax, sunflower, potato, tobacco, tomato, alfalfa, poplar, pine, apple and grape."

Claim 29: "A method ..planting said crop seeds....and applying to said crop and weeds in said field a sufficient amount of ... herbicide"

# Patent of Monsanto, herbicide resistant plants



On the basis of this patent Monsanto even filed court cases against soy shipments of Argentinean farmers in Europe to enforce royalties.

The patent covers seeds, plants, planting and harvest.

www.scouting-biotechnology.net

www.scouting-biotechnology.net



# Patent of Dupont, high oil maize corn



- Claim 1: Corn grain having a total oil content of at least ....
- Claim 8: Animal feed comprising the corn grain
- Claim 11: Method of improving the carcass quality of animals by feeding ...
- Claim 14: The use of the oil .. in food, animal feed, cooking..
- Claim 15: The use of the oil .. To make margarine, salad dressings, cooking oils ..

#### Patent of Dupont, high oil maize corn



- · Claim 16: A method for producing the corn grain ...
  - planting ...
  - permitting said male corn plants to pollinate..
  - Harvesting the resulting corn grain on all corn plants

Patent was revoked after opposition as not being inventive.

www.scouting-biotechnology.net

# New controversy about marker assisted breeding

MAB (marker assisted breeding) makes it possible to identify normal occurring genetic conditions which are for example related to pest or stress resistance, important food compounds (minerals, vitamins) or higher yielding.

There is a change in the underlying paradigma: The genomic data are no longer only relevant for genetic engineering in plants, but for optimizing conventional breeding.

 $www.scouting\mbox{-}biotechnology.net$ 

# the broccoli case (G2/07) — precedent case in normal breeding Europakchos Patoniauri Europan Patent Office Office européen des brevets (1) EP 1 069 819 B1 (12) Europa Patent Office Office européen des brevets (1) EP 1 069 819 B1 (12) Europa Patent SPECIFICATION (45) Date of publication and mention of the grant of the patent AM 7/2029 Butletin 2002000 (21) Application number: 000118893.8 (22) Date of publication 2002000 (33) Application number: 000118893.8 (24) Date of publication of mention (45) Date of publication of mention (46) Date of publication of mention (47) International application number: 000118893.8 (48) METHOD FOR SELECTIVE INCREASE OF THE ANTICARCINOGENIC GLUCOSINOLATES IN BRASSICA SPECIES BRASSICA SPECIES PROCEDED RA SELECTION DACGROSSEMENT DES GLUCOSINOLATES ANTICARCINOGENIC GLUCOSINOLATE BEI BRASSICA SPECIES ANTICARCINOGENIS DE LA BRASSICA (48) Designated Contracting States: AL LT LY MK RO 81 (30) Promy: 00.041898 US 81190 P (43) Date of publication of application ANTICARCINOGENIS Code in International Common and Notitional Application ANTICARCINOGENIS Code in International Common and Notitional Application (47) Date of publication of application ANTICARCINOGENIS Code in International Common and Notitional Application (48) Date of publication of application (49) Promy: 00.041898 US 81190 P (43) Date of publication of application ANTICARCINOGENIS Code in International Common and Notitional Application (49) Promy: 120 00.041898 US 81190 P (47) Propriety: Plant Blook-inves Linabed (48) Date of publication of application (49) Propriety: 120 00.041898 US 81190 P (49) Propriety: Plant Blook-inves Linabed (40) Propriety: Plant Blook-inves Linabed (41) Propriety: Plant Blook-inves Linabed (42) Propriety: Plant Blook-inves Linabed (43) Propriety: Plant Blook-inves Linabed (44) Plant Blook-inves Linabed (45) Propriety: Plant Blook-inves Linabed (46) Plant Blook-inves Linabed (47) Propriety: Plant Blook-inves Linabed (48) Plant Blook-inves Linabed (49) Propriety: Plant Blook-inves Linabed

#### the broccoli case (G2/07)

- A method for the production of Brassica oleracea with elevated levels of (...) glucosinolates (...) which comprises:
- (a) crossing wild Brassica oleracea species with Brassica oleracea breeding lines; and,
- (b) selecting hybrids with levels (...) glucosinolates (...), elevated above that initially found in Brassica oleracea breeding lines.

www.scouting-biotechnology.net

#### the broccoli case (G2/07)

- 9. An edible Brassica plant produced according to the method (...)
- 10. An edible portion of a broccoli plant produced according to the method (...)
- 11. Seed of a broccoli plant produced according to the method (...)

www.scouting-biotechnology.net

## Patent applications from Syngenta on rice genome

More than a dozen patents were filed worldwide, more or less claiming property on the whole genome of the rice plants

Several thousands of gene sequences were claimed as invention of Syngenta.

Related plant qualities are resistance to certain stress conditions (WO 03/008540) and against pest organism (WO 03/000905) as well as compounds of special nutritional value (WO 000906).

# Patent applications from Syngenta on rice genome



All uses of the listed gene sequences were claimed.

Claims are aiming to all gene sequences with similar structures and similar functions.

All other plant species with similar genes were covered by the patent applications

www.scouting-biotechnology.net

## Monsanto Patent applications on pigs



From 2005 on, the US company Monsanto filed about a dozen global patent applications on normal pigs and related breeding methods.

After public protests, the company withdrew some most controversial claims and sold its animal business in September 2007

www.scouting-biotechnology.net

## Monsanto Patent applications on pigs



#### Examples:

WO 2005/015989 covers the idea how to combine the normal elements of breeding and claims whole herds of animals.

WO 2005/017204 refers to genetic diagnosis of pigs for a certain gene, which is related to faster growth. Not only the method is claimed but also the whole animals are subjected to the claims.

## Monsanto Patent applications on pigs

In 2008 the application WO 2005/017204 will be granted by EPO as EP 1651777.

Monsanto withdraw claims on animals and gene sequences, but the patent still covers a process of breeding in normal pigs. The animal business of Monsanto was announced to be sold in September 2007.

www.scouting-biotechnology.net

### Patent on process for breeding of dairy cows

EP 1 330 552 B1



MARKER ASSISTED SELECTION OF BOVINE FOR IMPROVED MILK PRODUCTION USING DIACYLGLYCEROL ACYLTRANSFERASE GENE DGAT1

Granted 24.01.2007
Opposed by farmers organisations and several NGOs

www.scouting-biotechnology.net

### Patent on process for breeding of dairy cows



- 15. A method of determining genetic merit of a bovine with respect to milk composition and volume ...
- 17. A method of selecting a bovine ....
- 18. A method of identifying a bovine which possesses a genotype indicative of altered milk production traits ..

## the Cornell case EP 0637200



#### Claim 1:

- "A method of bovine herd management comprising the steps of:
- a) gathering data on milk production (...)
- b) using a mathematical herd management model (...)
- c) establishing a database (...)
- d) continuously updating said database (...)
- e) making physical changes to said herd based upon information in said database, in order to increase milk productivity of said herd (...)"

www.scouting-biotechnology.net

## the Cornell case EP 0637200



it took six years and about 100.000 € to revoke a patent which was

- · based on a not patentable business method
- not inventive

Revoked in 2007. If the breeders would have lost the case, every breeding of dairy cows could get dependent on licenses fee

www.scouting-biotechnology.net

# EU 27 Member States controlled by ECI Directive 98/44 EPO 32 Member States Budget based on granting of patents no independent court controlled by Administrative Council European Patent Convention and Dir 98/44 European patents finally get legally binding national patents controlled by court

## EU Legal framework - plants / animals (Dir. 98/44)



#### Article 4

- 1. The following shall not be patentable:
- (a) plant and animal varieties;
- (b) essentially biological processes for the production of plants or animals.

www.scouting-biotechnology.net

## EU Legal framework - plants / animals (Dir. 98/44)



#### Article 4

2. Inventions which concern plants or animals shall be patentable if the technical feasibility of the invention is **not confined to a particular plant or animal variety** 

#### Article 2 (Definition)

2. A process for the production of plants or animals is essentially biological if it consists **entirely of natural phenomena** such as crossing or selection.

www.scouting-biotechnology.net

## EU Legal framework - plants / animals (Dir. 98/44)



#### Article 8

- 1. The protection conferred by a patent on a biological material (...) shall extend to any biological material derived from that ..
- 2. The protection conferred <u>by a patent on a process</u> (...) shall extend to biological material directly obtained through that process and to any other biological material ....

## EU Legal framework - animals (Dir. 98/44)



Article 6 (ethical boundaries)

#### not patentable:

(d) "processes for modifying the genetic identity of animals which are likely to cause them suffering without any substantial medical benefit ..."

www.scouting-biotechnology.net

#### legal framework to be amended



- life forms such as gene sequences and living beings should be kept in public domain. If necessary, only soft IP should apply.
- socio economical implications should be acknowledged as criteria to reject patents under ordre public.
- as a first step prohibitions should be reinforced regarding plants and animals.

 $www.scouting\mbox{-}biotechnology.net$ 

#### Need to act



Patents on seeds and farm animals are concerning most basic resources of food production.

More and more they also concern energy markets.

The patent holder [claiming plants, seeds, harvest and further processing] controls both markets – who pays the price?

#### Need to act



"Because of the generally negative effects of patents in plant breeding, the UK Commission on Intellectual Property Rights explicitly advises developing countries to completely ban patents on plants and seeds."

(UK Commission on Intellectual Property Rights, 2002, Integrating Intellectual Property Rights and Development Policy, http://www.iprcommission.org)

www.scouting-biotechnology.net

#### **Need to act**

"If this trend isn't halted, some experts claim, tomorrow's supercrops may end up like many of today's medicines: priced out of the reach of much of the developing world's growing population. `We are headed down the same path that public-sector vaccine and drug research went down a couple of decades ago, ´ says Gary Toenniessen, director of food security at the Rockefeller Foundation in New York."

Crop improvement: A dying breed, Nature 421: 568-570, by Jonathan Knight, Feb 6, 2003

www.scouting-biotechnology.net

#### Need to act

IAAST (International Assessment of Agricultural Science and Technology for Development), 2008:

"In developing countries especially, instruments such as patents may drive up costs, restrict experimentation by the individual farmer or public researcher while also potentially undermining local practices that enhance food security and economic sustainability."

http://www.greenfacts.org/en/agriculture-iaastd/l-2/3-biotechnology-fordevelopment.htm#0











